

## Wyndham Community and Education Centre Inc

### Policy and Procedure

Policy name	<b>Delivery and Assessment (Foundation Skills) Policy and Procedure</b>
Responsible person	Education Manager / LLN Coordinator
Staff involved	Teachers, Trainers and Assessors, Compliance and Reporting Team, Admin Team, RTO Manager
Review dates	2020
Related documents	<p><b><u>Legislation:</u></b>            Education and Training Reform Act 2006 (Vic), Equal Opportunity Act 2010 (Vic), Working with Children Act 2005 (Vic), Children, Youth and Families Act 2005 (Vic), Public Records Act 1973 (Vic), Charter of Human Rights and Responsibilities Act 2006 (Vic), Disability Act 2006 (Vic), Disability Regulations 2007, Health Records Act 2001 (Vic).</p> <p><b><u>Policies &amp; Procedures:</u></b>            Establishing and Applying Decisions for Satisfactory Completion (including Delay of Satisfactory Completion and Reasonable Adjustment in Assessment) Procedure, Plagiarism, Cheating and Collusion Policy &amp; Procedure, Quality Management and Continuous Improvement Policy &amp; Procedure, Recognition of Prior Learning and Recognition of Current Competencies Policy &amp; Procedure, Recognition of Qualifications issued by other RTOs Policy &amp; Procedure, Record Management &amp; Record Keeping Policy &amp; Procedure, Skills First Program Reporting Policy &amp; Procedure, Student Selection, Enrolment &amp; Induction (Foundation Skills) Policy &amp; Procedure, Special Consideration Policy &amp; Procedure/Application Form, Training and Assessment Supervision Policy &amp; Procedure, Validation, Moderation and Quality Assurance Policy &amp; Procedure, VCAL Assessment Policy and Procedure, VCAL Student Selection, Enrolment, Induction &amp; Delivery Policy &amp; Procedure, Student Selection, Enrolment &amp; Induction (VET) Policy &amp; Procedure, Training Plan Procedure</p> <p><b><u>Other:</u></b>            2018-19 VET Funding Contract, AQTF Essential Conditions and Standards for Continuing Registration Training and Assessment Strategy, continuous improvement documents, DEECD Statement of Expectations, Individual Training Plans, Teachers/Trainers minutes of meetings, Industry Consultation Register, Industry Consultation Log, Unit of Competency Summary Record Sheet, Wyndham CEC Strategic Plan, Skills First Quality Charter</p>

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### Policy

Wyndham Community and Education Centre Inc (Wyndham CEC) is a community based Learn Local provider with registration as an RTO.

Wyndham CEC's Vision, Mission and Strategic Plan all emphasise the importance that the organisation places on quality teaching and learning. Wyndham CEC's mission includes a commitment to being a leader in educational programs and services for the Wyndham community by offering programs that provide opportunities that lead to further education and employment pathways, enhance well-being and improve quality of life. Wyndham CEC's Strategic Plan includes strategic goals which outline the critical value Wyndham CEC places on teaching and learning for all students and practitioners.

This policy covers the following Foundation Skills programs delivered at Wyndham CEC

- English as an Additional Language (EAL) Framework: Course in Initial EAL – Certificate IV in EAL
- Certificates in General Education for Adults (CGEA): Course in Initial CGEA – Certificate III in CGEA

For selection, enrolment, induction and delivery to Foundation VCAL students, see *VCAL Student Selection, Enrolment, Induction & Delivery Policy & Procedure*.

For assessment of students in a Foundation VCAL program, see *VCAL Assessment Policy and Procedure*.

### Procedures

#### Training & Assessment Strategies

- A Training and Assessment Strategy (TAS) is developed for each Foundation Skills qualification prior to program commencement. This TAS provides the framework that guides the learning requirements and the training and assessment arrangements of the qualifications.
- All TAS documents developed at Wyndham CEC include:
  - Qualification details
  - RTO information
  - Industry consultation
  - Delivery and assessment arrangements
  - Continuous improvement statement
  - Certification / issuing of qualification
  - Pathways

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- Teacher/Trainer and assessor details
- RTO course monitoring and evaluation
- Wyndham CEC's TAS documents also provide comprehensive qualification advice including the macro-level requirements of the assessment process covering:
  - Purpose
  - Evidence
  - Completion
  - Delay of satisfactory completion
  - Reasonable adjustments in assessments
  - Special Provision
  - Authentication of student's work
  - Validation, moderation and quality assurance processes
  - Credit transfer and recognition of prior learning

### Program Planning

- Planning takes into account
  - Validation of previous programs
  - Industry consultation
  - Feedback from students and trainers
  - Analysis of numbers of students in previous programs and projected demand for future programs.
- Following the above, teacher allotments and scheduling of classes occurs. Facilities and resources are sourced in accordance with AQTF standard 1.3.

### Program Commencement

- Teachers are provided with a Training & Assessment Strategy (TAS), timetable and class roll.
- Staff are informed of any student needs, issues or medical conditions.
- All staff are provided with a teacher information package which outlines critical documents required in Foundation Skills programs.
- All relevant documents for delivery and assessment of Foundation Skills programs can be located on Wyndham CEC's content management system, SharePoint.

### Delivery

- All students enrolled in accredited training will receive an Individual Training Plan (TP) outlining all information relating to the units of competency to be delivered and assessed. This plan will be customised for students at the pre training interview (see Training Plan Procedure).

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- To be awarded a qualification from the EAL Framework or the Certificates of General Education for Adults, students must successfully complete all core and elective units selected in the TP.
- Delivery is conducted by teachers and trainers with recognised qualifications which meet the requirements of the EAL Framework and CGEA Curricula.
- The Education Manager will ensure that staff who deliver Foundation Skills programs are aware of all related policies, procedures and documentation including information on the EAL Framework and Certificates in General Education for Adults.
- This will ensure staff have the information available to perform the duties required of them to deliver Foundation Skills programs according to the qualification requirements.
- All Foundation Skills staff are expected to attend regular meetings as organised by the Education Manager or Language, Literacy & Numeracy (LLN) Coordinator.
- All staff are required to participate in Validation & Moderation activities as per Wyndham CEC's *Validation, Moderation and Quality Assurance Policy and Procedure* and according to the units they deliver and assess.
- Student attendance and conduct will be monitored throughout the year. The *Student Code of Conduct* clearly outlines expectations in relation to conduct in the education programs.
- Wyndham CEC expects attendance to all timetabled classes and activities.
- Students are entitled to a refund in the unlikely event that Wyndham CEC ceases operations

### Assessment

- Wyndham CEC's assessment procedures are based on the following principles:
  - to provide quality training and assessment across Wyndham CEC operations
  - to comply with the Assessment Guidelines included in nationally endorsed Training Packages or the assessment requirements specified in relevant curriculum documents
  - to comply with the principles of flexibility, validity, reliability and fairness all reflected in the Training and Assessment Strategy
  - to involve the evaluation of sufficient, valid, authentic and current evidence to enable judgements to be made about whether competency has been attained
  - to be equitable for all persons, taking into account cultural and linguistic needs

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- to inform applicants about the context and purpose of the assessment and the assessment process
  - where relevant, to focus on the application of knowledge and skill to the standard of performance required in the workplace
  - to provide feedback on assessments to the applicant about the outcomes of the assessment process and guidance on future options
  - to provide for reassessment as required
- Assessments are conducted by teachers/trainers and assessors with recognised qualifications which meet the requirements of the accredited curriculum. Assessor competencies are consistent with the requirements of the AQTF Standards for Registration Standard 1.4 that require trainers and assessors to:
    - Have the training and assessment competencies determined by the National Quality Council or its successors
    - Have the relevant vocational competencies at least to the level being delivered or assessed
    - Continue to develop their vocational and training and assessment competencies to support continuous improvements in delivery of RTO services
    - All units in the EAL Framework qualifications must be assessed by those with TESOL qualifications
    - A qualified TESOL teacher holds a postgraduate qualification that includes appropriate teaching practicum in TESL/TESOL or a 4 year Bachelor of Education with a TESL/TESOL method
    - For teachers delivering and assessing the Certificates of General Education for Adults, relevant vocational competencies refers to demonstrable expertise in teaching literacy or numeracy. This includes holding an AQF level 7 or above teaching qualification with a relevant method.

Assessors who do not have the required formal qualifications may be able to go into a supervision arrangement. For full details in relation to supervision arrangements see Wyndham CEC's *Training and Assessment Supervision Policy and Procedure*.

Note: For those delivering and assessing the Certificates of General Education for Adults, trainers and assessors would need to demonstrate relevant knowledge of the theory of literacy or numeracy development and its application for CGEA qualifications. There are no supervision arrangements for trainers and assessors working in the EAL programs.

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- Assessments, and assessment judgements, are conducted by teachers/ trainers and assessors as outlined in the TAS.
  - Wyndham CEC assesses learner outcomes by collecting evidence about the performance of students and making a judgement on whether the students have met all the required learning outcomes according to the rules of the curriculum.
  - At Wyndham CEC, evidence is anything that supports the claim that a student has achieved a learning outcome. The TAS outlines the types of evidence that can be collected. It lists the rules of evidence - valid, sufficient, authentic and current and details the assessment processes and tools which satisfy the principles of assessment - validity, reliability, flexibility and fairness.
  - To satisfactorily complete a unit of competency, a student must complete all learning outcomes. Each assessment task will be assessed as 'S' (satisfactory) or NS (not satisfactory). After work has been submitted and assessed, teachers are required to provide feedback on assessment results to all students.
  - Students should discuss any issues they may have regarding assessment with their teacher and/or the LLN Coordinator.
  - A delay of satisfactory completion can occur if a student misses too many classes or the work presented does not meet curriculum requirements in accordance with assessment guidelines for the accredited curriculum. Staff will work with students who are assessed as Not Yet Competent (NYC) and provide opportunities to satisfactorily complete units of competency to achieve a Competent (C) result where possible.

See Wyndham CEC's *Establishing and Applying Decisions for Satisfactory Completion (including Delay of Satisfactory Completion and Reasonable Adjustment in Assessment) Procedure* for more details.

- Reasonable adjustments in assessment can be made to meet the needs of individual students. This includes factors such as learning styles, physical or intellectual ability, language, literacy and numeracy levels, cultural background or socio-economic factors.

Wyndham CEC has a procedure for *Establishing and Applying Decisions for Satisfactory Completion (including delay of satisfactory completion and reasonable adjustment in assessment)* which is followed by assessors of Foundation Skills programs.

- Wyndham CEC has a Special Consideration Policy and Procedure which outlines the circumstances under which a student can apply for granting of special consideration. See Wyndham CEC's *Special Consideration Policy and Procedure* for full information.

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- Authentication of assessment is the process of ensuring that the work submitted by students has actually been completed by them. Students need to be able to prove this through regular attendance, by completing class activities and by acknowledging any resources used in their work. Students must not receive undue assistance from any other person in the completion of assessment tasks. This includes copying or plagiarising other work.

Wyndham CEC has a *Plagiarism, Cheating and Collusion Policy and Procedure* which it follows in such instances.

- Validating and moderating the relevance and consistency of assessments and assessment judgements is critical to ensuring the assessments meet the accredited course and training package requirements.

Participation in validation and moderation is a key performance indicator on Teacher work-plans.

Teachers delivering the Certificates of General Education for Adults (CGEA) and EAL Framework will undertake internal validation and moderation and external /consensus validation and moderation meetings at least twice a year.

Validation of training and assessment documents occurs at the end of each program and the validation outcome is taken into account in planning for future programs.

- Wyndham CEC recognises qualifications issued by other Registered Training Organisations (RTOs). Credit Transfer is available for any previously completed accredited units. See Wyndham CEC's *RPL Policy and Procedure* and *Recognition of Qualifications issued by other RTOs Policy & Procedure* for detailed information.
- Students enrolled in accredited training courses at Wyndham CEC will have 30 days from the last day of training/timetabled classes to meet all of the requirements of the program they are enrolled in. Wyndham CEC is not obliged to accept any work or assessment beyond this date.

### Record keeping for training and assessment

- Student files are kept securely in a locked filing cabinet and secured at head office.
- Whilst delivery of Foundation Skills programs is in progress, trainers and assessors retain relevant records to the program being delivered and assessed.
- A Unit of Competency Summary Record Sheet will be completed by the Trainer & Assessor for each student enrolled in a Foundation Skills program. This record documents a student's competency unit by unit.

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- As soon as assessment results are available, they are given to the Compliance & Reporting Team for entry onto Wyndham CEC's student management system, aXcelerate.
- The Skills First Program Reporting Policy & Procedure must be followed for students enrolled in Skills First subsidised training programs.
- For all details on procedures in relation to management of records and record keeping, please see Wyndham CEC's *Record Management & Record Keeping Policy and Procedure*.

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